

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2004-0234A

First Named Inventor : Yoshihiko YOKOYAMA et al.

Title: ACTUATOR FOR OPTICAL PICKUP, OPTICAL PICKUP, AND  
APPARATUS FOR READING OR RECORDING INFORMATION

Express Mail Label No.:

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-14501. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)2. ☐ Small Entity Status is hereby asserted.3. ☒ Specification [Total Pages: 33]  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to Sequence Listing, a table, or a computer  
program listing appendix.

- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 4]5. ☒ Oath or Declaration [Total Pages: 3]  
a.1. ☐ Newly executed (original or copy)  
a.2. ☒ Unexecuted  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).6. ☐ Application Data Sheet (see 37 CFR 1.76)7. ☐ CD-ROM or CD-R in duplicate, large table or computer  
program (Appendix)8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ Paper  
c. ☐ The paper and computer readable copies are identical

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or  
in an Application Data Sheet:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.

Prior Application Information: Examiner Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b,  
is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The  
incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

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February 19, 2004

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-097522858 U.S. PTO  
10/780555

021904

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Yoshihiko YOKOYAMA et al. :  
Serial No. NEW : **Attn: APPLICATION BRANCH**  
Filed February 19, 2004 : Attorney Docket No. 2004-0234A  
  
ACTUATOR FOR OPTICAL PICKUP, OPTICAL  
PICKUP, AND APPARATUS FOR READING OR  
RECORDING INFORMATION

**PATENT OFFICE FEE TRANSMITTAL FORM**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$1,458.00 to cover Patent Office fees relating to filing the following attached papers:

New application ..... \$770.00

Additional Claims Fee:

Independent ..... \$688.00

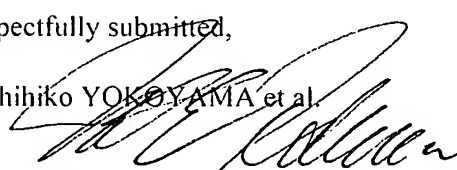
A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Yoshihiko YOKOYAMA et al.

By

  
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February 19, 2004

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